

JC07 Rec'd PCT 23 MAR 2001

FORM PTO-1390 (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEYS DOCKET NUMBER LLBR:0003/YOD
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/806062
INTERNATIONAL APPLICATION NO. PCT/FR00/02098	INTERNATIONAL FILING DATE 21 July 2000	PRIORITY DATE CLAIMED 23 July 1999		
TITLE OF INVENTION ELECTRONIC ASSEMBLY COMPRISING A SOLE PLATE FORMING A HEAT SINK				
APPLICANT(S) FOR DO/EO/US Gérard-Marie Martin, Laurence Lenne				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 				
Items 11. To 16. Below concern document(s) or information included:				
<ol style="list-style-type: none"> <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Other items or information. Other items or information 				

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
09/806062	PCT/FR00/02098	LLBR:0003/YOD	
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) :			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....		\$1000.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....		\$ 860.00	
International Preliminary examination fee (37 CFR 1.482) not paid to USPTO but			
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....		\$ 710.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....		\$690.00	
International Preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....		\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	8 - 20 =		x \$18.00
Independent claims	1 - 3 =		x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =		\$1,000.00	
Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			
SUBTOTAL =		\$1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +		\$	
TOTAL NATIONAL FEE =		\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$	
TOTAL FEES ENCLOSED =		\$1,000.00	
		Amount to be: Refunded	\$
		Charged	\$
<p>a. <input type="checkbox"/> a check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1315/LLBR:0003/YOD. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>			
<p>NOTE: Where an appropriate time limit under CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>			
SEND ALL CORRESPONDENCE TO:			
Patrick S. Yoder		SIGNATURE:	
Fletcher, Yoder & Van Someren		Patrick S. Yoder	
P.O. Box 692289		NAME	
Houston, Texas 770269-2289		37,479	
		REGISTRATION NUMBER	

09/806062

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §
Gérard-Marie Martin et al. §
§ Group Art Unit: unassigned
International Application No.: PCT/FR00/02098 §
International Filing Date: July 21, 2000 §
§
Serial No.: unknown §
§ Examiner: unassigned
Filed: herewith §
§
For: ELECTRONIC ASSEMBLY §
COMPRISING A SOLE PLATE §
FORMING A HEAT SINK §
§ Atty Docket: LLBR:0003/YOD
(BR 3085)

Assistant Commissioner
for Patents
Box: PCT
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" Mailing Label Number **EL652335329US**
Date of Deposit **March 23, 2001**

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above, and is addressed to the Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371;
2. English translation of the International Application as filed;
3. Preliminary Amendment;
4. Unsigned Declaration;
5. PTO-2038 Form; and
6. Postcard.

Lynda Howell
Name of person mailing deposit

Lynda Howell
Signature

Refund Ref:
07/18/2001 0030005386

patent application serial no.
09/806062
Department of Commerce
Patent and Trademark Office
fee record

Credit Card Refund Total: \$140.00

Am Exp.: XXXXXXXXXX3023

07/18/2001 AYILMAZ 00000066 09806062
1000.00 OP

Adjustment date: 07/18/2001 AYILMAZ
03/28/2001 ATRANI 00000066 09806062
-1000.00 OP
01 FC:960

07/18/2001 AYILMAZ 00000008 09806062
860.00 OP
01 FC:970